



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76786

Takashi IMANISHI, et al.

Appln. No.: 10/631,864

Group Art Unit: 3682

Confirmation No.: 9156

Examiner: Not Yet Assigned

Filed: August 01, 2003

For: TOROIDAL-TYPE CONTINUOUSLY VARIABLE TRANSMISSION

INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/631,864

ATTORNEY DOCKET NO. Q76786

The submission of the attached English language abstracts along with Japanese Patent Laid-open Publication Nos. 10-281269, 1-169169, 1-312266, 6-72652 and 2000-65193 constitutes concise statements of relevance of the respective references. Also, Japanese Patent Laid-open Publication No. 1-169169, 1-312266 are discussed on page 9 and 6-72652 and 2000-65193 are discussed on pages 17-19 in the specification.

Japanese Patent Laid-open Publication No. 10-196759 corresponds to U.S. Patent No. 5,888,160 and Japanese Patent Laid-open Publication No. 11-63146 corresponds to U.S. Patent No. 6,171,210. Also, U.S. Patent Nos. 5,888,160 and 6,171,210 are discussed at page 9 of the specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

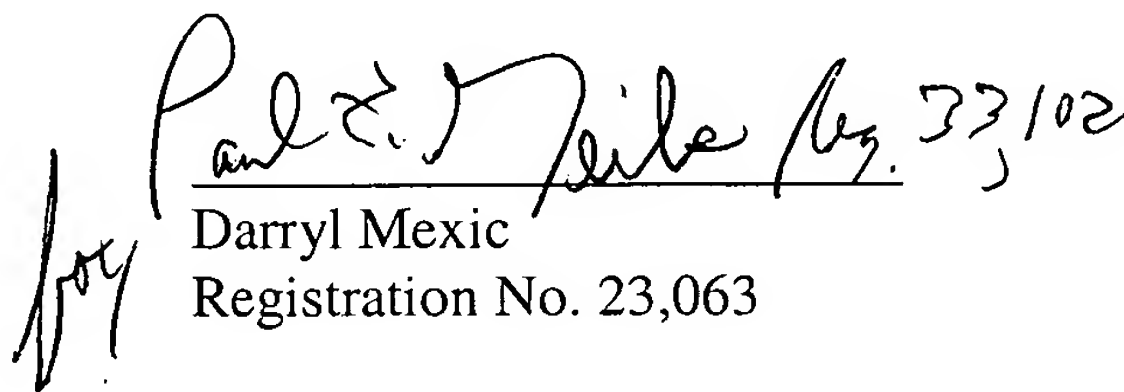
Respectfully submitted,

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WASHINGTON OFFICE

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Date: December 30, 2003

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/631,864
Confirmation Number	9156
Filing Date	August 01, 2003
First Named Inventor	Takashi IMANISHI
Art Unit	3682
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q76786

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,888,160	A	03-30-1999	MIYATA et al.
		US 6,171,210	B1	01-09-2001	MIYATA et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	6-72652	A	09-14-1994		Abstract
		JP	1-169169	A	07-04-1989		Abstract
		JP	1-312266	A	12-18-1989		Abstract
		JP	10-196759	A	07-31-1998		
		JP	10-281269	A	10-23-1998		Abstract
		JP	11-63146	A	03-05-1999		
		JP	2000-65193	A	03-03-2000		Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		IMANISHI et al., "Development of POWERTOROS Unit Half-Toroidal CVT (2)", <u>NSK Technical Journal - Motion & Control</u> , No. 10, pp. 1-8, April 2001	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.